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# FAX Transmission Sheet

September 22, 2003

To:

Examiner Timothy H. Meeks

Fax No.

(703) 872-9310

From:

Stephen P. Burr

Fax No.

(315) 233-8320

Re:

In re the Application of:

Tomohiko SHIBATA, Yukinori NAKAMURA and

Mitsuhiro TANAKA

Serial No.: 10/010,945

Art Unit:

1762

Date Filed: December 6, 2001

Conf. No. 5603

Title:

A METHOD FOR FABRICATING A III-V NITRIDE FILM AND AN

APPARATUS FOR FABRICATING THE SAME

Our Docket No.: 782 204

You should receive 13 page(s) including this cover sheet. If you do not receive all pages, please call (315) 233-8300.

### **COMMENTS:**

I hereby certify that the following paper(s) is/are being facsimile transmitted to Examiner Timothy H. Meeks at (703) 872-9310 at the Patent and Trademark Office on September 22, 2003:

	<u>Paper</u>	# of Pages
1.	Amendment Transmittal (in duplicate)	2
2.	Amendment	9
3.	Appendix - Abstract	1
	Total Pages (including cover page)	13
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		$P_{\mathcal{L}}$	ATENT AME (Provi	NDMENT sions of 37 CFR 1.		<i>VSMITTA</i>	<i>L</i>		
Application Numbe	•	10/010,9	45	Filing D	ate		December 6, 2001		
Group Art Unit		1762		Examin	Examiner Name		Timothy H. Meeks		
Confirmation No.	·	5603		Attorne	Attorney Docket No.		782_204		
Inventor(s)		Tomohik	o SHIBATA, Yuki	inori NAKAM	URA and	Mitsuhiro TA	ΓΑΝΑΚΑ		
Invention:  A METHOD FOR FABRICATING A III-V NITRIDE FOR FABRICATING THE SAME				DE FILM AN	D AN APPA	RATUS	FOR		
Transmitted her	ewith is a	n Amendr	ent in the above-id	entified applic	ation. Th	e fee has beer	calculated as	s follows	:
<u></u>			CL	MA ZA ZMIA	ENDED				
(1)	Claims	(2) Remaining mendment	(3)	(4) Highest Numb Previously Pai		(5) of Extra Claims Present	(6) Rate (Large Entit	y)	(7) Additional Fcc
TOTAL CLAIMS		6	MINUS	20		0	\$18.00		\$00.00
INDEP. CLAIMS		1	MINUS	3		0	\$84.00		\$00.00
			TOTAL ADDITIO	DNAL FEE F	OR THIS	AMENDMI	ENT		\$00.00
			EX	TENSION OF	TERM				
made to provide for the possibility that applicant has inadvertently overlooked the need for a petition for extension of time.  This is a request under the provisions of 37 CFR 1.136(a) to extend the period for filing a reply in the above-identified application. The requested extension and appropriate non-small entity fee are as follows:  One Month (37 CFR 1.17(a)(1) \$110.00  Two Month (37 CFR 1.17(a)(2) \$410.00  Three Month (37 CFR 1.17(a)(3) \$930.00									
	100 17011	II (57 CIR				3930.00			\$00.00
TOTAL FEES DUE \$00.00  Applicant claims small entity status. See 37 CFR 1.27. Therefore, the fee amount is reduced by one-half, and the resulting fee is:									
				FEE PAYMI	ENT				
No additional fee is required. □ A check in the amount of \$ is enclosed. □ Charge Deposit Account 50-1446 in the amount of \$ Enclosed is a duplicate copy of this sheet. □ Please charge any fees which may be required, or credit any overpayment, to Deposit Account 50-1446.									
Submitted By:							Y	,	
					Customer No.	025191			
G:	<del></del>		Telephone (315) 233-8300		233-8300	Facsimile		33-8320	
Signature						Date	September 22, 2003		
I hereby certify th	at this paper	is being facsi	imile transmitted to Exa	ON OF FACSIMI miner Meeks at the	Patent and		e on <i>September</i> .	22, 2003 at	(703) 872-9310.

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		MENDMENT TRANSMI Provisions of 37 CFR 1.136 Apply)	IIAL		
Application Number	10/010,945	Filing Date	December 6, 2001		
Group Art Unit	1762	Examiner Name	Timothy H. Meeks		
Confirmation No.	5603	Attorney Docket No.	782_204		
Inventor(s)	Tomohiko SHIBATA, Yukinori NAKAMURA and Mitsuhiro TANAKA				
Invention:	A METHOD FOR FABRICATING A III-V NITRIDE FILM AND AN APPARATUS FOR FABRICATING THE SAME				

A METHOD FOR FABRICATING A III-V NITRIDE FILM AND AN APPARATUS FOR FABRICATING THE SAME						KKATUS FOR			
Transmitted here	with is an Amendm	ent in the shore-id	entified annlication	on. The fee has bee	n calculated o	es follows:			
Transmitted here	with is an Angelion		AIMS AS AMEN		n calculator a	15 1010 w 3.			
(1)	(2) Claims Remaining After Amendment	(3)	(4) Highest Number Previously Paid	(5) No. of Extra Claims Present	(6) Rate (Large Enti	(7) Additional ty) Fee			
TOTAL CLAIMS	6	MINUS	20	. 0	\$18.00	\$00.00			
INDEP. CLAIMS	1	MINUS	3	0	\$84.00	\$00.00			
TOTAL ADDITIONAL FEE FOR THIS AMENDMENT \$00.00									
made to prov	EXTENSION OF TERM  Applicant believes that no extension of term is required. However, this conditional petition is being made to provide for the possibility that applicant has inadvertently overlooked the need for a petition for extension of time.								
☐ This is a requal bove-identification.	above-identified application. The requested extension and appropriate non-small entity fee are as								
□ One	□ One Month (37 CFR 1.17(a)(I)\$110.00								
□ Two	□ Two Month (37 CFR 1.17(a)(2)								
□ Thr	ee Month (37 CFR								
			OTAL FEES D			\$00.00			
Applicant claims small entity status. See 37 CFR 1.27. Therefore, the fee amount is reduced by one-half, and the resulting fee is:									
			FEE PAYMEN	Γ					
<ul> <li>No additional fee is required.</li> <li>□ A check in the amount of \$ is enclosed.</li> <li>□ Charge Deposit Account 50-1446 in the amount of \$ Enclosed is a duplicate copy of this sheet.</li> <li>☑ Please charge any fees which may be required, or credit any overpayment, to Deposit Account 50-1446.</li> </ul>									
Submitted By:			<u>,                                    </u>						
Name (Print Type)	Stephen P. Burr		Reg. No.	32,970	Customer No.	025191			
			Telephone	(315) 233-8300	Facsimile	(315) 233-8320			
Signature					Date	September 22, 2003			
CERTIFICATION OF FACSIMILE TRANSMISSION  I hereby certify that this paper is being facsimile transmitted to Examiner Meeks at the Patent and Trademark Office on September 22, 2003 at (703) 872-9310.  Elystech C. Van Lature									

Before Final - Official

Practitioner's Docket No.: 782\_204

**PATENT** 

### IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

In re the application of:

Tomohiko SHIBATA, Yukinori NAKAMURA and

Mitsuhiro TANAKA

Ser. No.: 10/010,945

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CERTIFICATION OF FACSIMILE TRANSMISSION

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Commissioner for Patents P.O. Box 1450 Alexandria, VA 22313-1450

Construct U. Vallatireia Elizabeth A. Van Antwerp

#### **AMENDMENT**

Sir:

In response to the Office Action mailed July 18, 2003, please amend the above-identified application as follows:

Amendments to the Specification begin on page 2 of this paper.

Amendments to the Claims are reflected in the listing of claims which begins on page 5 of this paper.

Amendments to the Abstract begin on page 7 of this paper and include an attached replacement sheet pursuant to 37 CFR 1.72.

Remarks/Arguments begin on page 8 of this paper.

An Appendix including new Abstract is attached following page 9 of this paper.